Please complete this worksheet and email to: <u>Sergio.smilley@nationalgrid.com</u>

The purpose of the Pre-Testing Worksheet is for trading partners to demonstrate they have met all necessary requirements to engage in Phase II or III EDI testing in New York. Utilities and E/Ms are required to transmit a completed worksheet to their trading partner(s) prior to entering a test queue and beginning testing. Submission of the worksheet in dicates that the trading partner has completed internal systems testing and achieved correct and accurate results, including testing with sufficient volumes to assure acceptable throughput to satisfy both trading partners' performance requirements.

Identification & Contact Information
Company Name:
Company ID Number
Business Contact Name:
Contact Email:
Contact Phone:
Technical Contact #1:
Contact Email #1:
Contact Phone #1:
Technical Contact #2:
Contact Email #2:
Contact Phone #2:
Date: 9/25/2008

Communications Information		
	Test	**Production**
DUNS Number: Note: When the same NGrid supplier Id code is assigned for NY and NE, the value must be uniquely identify NY and NE.		
N1~SJ (N103 – Identification Code Qualifier)	1, 9 or 24	1, 9 or 24
N1~SJ (N104 – Identification Code) Note: When an additional NGrid supplier Id code is needed, the value must be unique.	DUNS, DUNS+4 or Federal Tax Id	DUNS, DUNS+4 or Federal Tax Id
Common Code: Note: This field must always be unique.		

ISA Qualifier:		
ISA Sender/Receiver ID: Note: This field must be unique.		
GS Sender/Receiver ID: Note: When the same NGrid supplier Id code is assigned for NY and NE, the value must be uniquely identify NY and NE.		
Receiver URL Address:		
Sender IP Address:		
Port Number:		
Authentication ID:		
Authentication Password:		
PGP Public Keys will be provided via:		
Protocol Failure E-Mail :		
VAN Phone Number, if used:		
CGI Program Name:		

Known Non-Compliance: Document any known non-compliant transactions or business processes your company is operating with and the expected date of compliance. Add rows if necessary.

Description of Non-compliance and Transaction Affected	**Expected Date of Compliance**

Exceptions to the Test Plan PH2/PH3: Document any exceptions you will make to the test plan. Add rows if necessary

Description of Test Plan Exception	**Account/Scenarios**

Manual Processes to be Used in Testing and Production: Document any manual processes you will be using to supplement the EDI automated processes.

Description of Manual Processes

Demonstration of Phase I X12 Certification: To gain entry to testing queues, each trading partner is required to provide copies of the following transaction files certified X12 compliant by PSC Staff.

Transaction Required	Comments or Exceptions
TRANSACTION REQUIRED FROM UTILITY	
814 Enrollment Response	
814 Drop	
814 Drop Response	
867 Historical Usage	
867 Monthly Usage	
814 Change	
814 Change Response	
248 Account Assignment	
568 Accounts Receivable Advisement	
810 Utility Rate Ready Invoice	
TRANSACTION REQUIRED FROM E/M	
814 Enrollment	
814 Drop	
814 Drop Response	
824 Application Advice	
814 Change	

Understanding Responsibilities: Please review the list below and document any exceptions or comments. Submitting this worksheet implies understanding with the item, unless otherwise noted.

Understanding	Comments or Exceptions
ALL PARTIES	
I understand that transactional testing will be conducted with a minimal amount of human intervention.	
I understand that the New York PSC retains dispute resolution responsibilities related to all levels of trading partner testing.	
I understand that I must complete Phase I pre-testing certification of all transactions prior to beginning testing with any trading partners.	

I understand that I must document any areas where I am not compliant with the standards and procedures of the NY EDI Collaborative and provide dates for when I will be compliant.	
I understand that I must provide trading partner EDI information to my trading partners prior to beginning testing with that trading partner.	
I understand that I must send 997/Functional Acknowledgements for all tests, and in production.	
I understand that I must document any scenarios of the test plan that I will NOT test (exceptions).	

UTILITY ONLY

I understand that I must conduct regular test teleconferences with all E/Ms that I am currently testing with.	

E/M ONLY

I understand that I must notify the Utility of the billing scenarios that I am currently offering.	
I understand that I must be an eligible, Phase I-certified E/M prior to beginning testing with any Utilities.	
I understand that I must keep up with the established test schedule of the Utility while in testing.	
I understand that I must participate in regular teleconferences conducted by the Utility while in testing.	